



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/879,660 | 06/12/2001 | Eric Dupont | 014985.0012 |

CONFIRMATION NO. 2949

FORMALITIES LETTER



OC00000006501458

20594
RANDALL C BROWN
AKIN GUMP STRAUSS HAUSER & FELD
P O BOX 688
DALLAS, TX 75313

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/20/2001 EABUBAK1 00000015 09879660

01 FC:201
02 FC:205

355.00 OP
65.00 OP



#4

SECTOR
#

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eric Dupont et al.

For: LOW MOLECULAR WEIGHT COMPONENTS OF CARTILAGE,
COMPLEXES OF METALS WITH AMINO ACIDS, DI-PEPTIDES AND
ANALOGS THEREOF; PROCESSES FOR PREPARATION AND
THERAPEUTIC USES THEREOF

Serial No.: 09/879,660

Examiner: N/A

Filed: June 12, 2001

Group Art Unit: N/A

Docket No.: 014985.0012

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

CERTIFICATE OF MAILING

I certify that this document and fee is being
deposited on September 12, 2001 with the US Postal
Service as first class mail under 37 C.F.R. 1.8 and is
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Ellen Lovelace
Ellen Lovelace

RESPONSE TO NOTICE TO FILE MISSING PARTS OF

APPLICATION-FILING DATE GRANTED

In response to the Notice to File Missing Parts Of Application-Filing Date Granted dated
August 31, 2001, Applicant states that the application **qualifies for small entity status** under 37
CFR § 1.27(a)(1) and (2), and submits herewith the following:

- 1) a copy of Notice to File Missing Parts of Nonprovisional Application;
- 2) executed Declaration;
- 3) Recordation Form Cover Sheet and Assignment;

- 4) a check in the amount of \$460.00 (\$355.00 for the filing fee under 37 CFR § 1.27; \$65.00 for the surcharge under 37 CFR § 1.16(e), and \$40.00 for assignment recordation fee; and
- 5) return postcard.

The Commissioner of Patents and Trademarks is hereby authorized to charge any fee deficiency or to credit any fee overpayment relating to this matter to Deposit Account No. 01-0657.

A copy of this sheet is enclosed.

Respectfully submitted,

Dated: September 12, 2001

By: 

Randall C. Brown
Attorney for Applicants
Registration. No. 31,213
Akin, Gump, Strauss, Hauer & Feld, L.L.P.
P.O. Box 688
Dallas, Texas 75313-0688
(214)969-4769